

Annual Infrastructure Funding Statement

Community Infrastructure Levy and Section 106

2020/2021

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1. Introduction

1.1 About this statement

- 1.2 The Infrastructure Funding Statement (IFS) is an annual report which must be published by the end of December each year in line with changes to the Community Infrastructure Levy Regulations 2010 see Community Infrastructure Levy (Amendment) (England) (No.2). It sets out the reported year 2020/21 receipts and expenditure relating to developer contributions from both the community infrastructure levy (CIL) and section 106 (S106) agreements. Sheffield City Council is the charging authority and collecting authority and this is the Council's second infrastructure funding statement.
- 1.3 In accordance with Community Infrastructure Regulation 121A (1) (a) (b) & (c) this statement sets out the Councils position on:
 - the infrastructure projects or types of infrastructure which the charging authority intends to be, or may be, wholly or partly funded by CIL in the future (excluding the neighbourhood CIL);
 - the CIL allocation, collection and expenditure, specified in Schedule 2 Section 1 of the CIL Regulations 2010; and
 - the Section106/planning obligations allocation, collection and expenditure, specified in Schedule 2, Section 3 and 4 of the CIL Regulations 2010

2. Infrastructure Matters

- 2.1 This Statement sets out how strategic infrastructure projects across the city have benefited from the allocation of funds received in relation to strategic CIL, including funds received across the reporting year of 2020/21. The delivery of significant infrastructure projects does of course take time, and this is reflected in the reported spend figures. However, projects have and will continue to progress, playing a key role in the economic recovery and supporting growth and investment opportunities.
- 2.2 Looking forward, there is a need to produce an updated statement of the strategic infrastructure projects or types of infrastructure which Sheffield intends will be, or may be, wholly or partly funded by CIL. This is known as the 'Infrastructure List'. CIL Planning Practice Guidance states that "local authorities must spend the levy on infrastructure needed to support the development of their area". These future spending priorities should be in line with up-to-date or emerging plan policies².
- 2.3 Sheffield City Council is working towards producing a new local plan as our existing adopted plan³ is relatively old and does not fully reflect the latest priorities for development and infrastructure provision in the city. The Sheffield Plan⁴ Issues and Options document⁵ (September 2020) is very highlevel, strategic, and generic, and has proposed three very broad approaches to meeting residential development needs in Sheffield. It does not determine specific levels of development in particular locations, nor are there any strategic development sites identified.

¹ Paragraph: 144 Reference ID: 25-144-20190901

² Paragraph: 177 Reference ID: 25-177-20190901

³ The current adopted Sheffield Local Plan comprises the Sheffield Core Strategy (2009) and a number of 'saved' policies from the Sheffield Unitary Development Plan (1998).

⁴ The Council is calling the city's local plan the 'Sheffield Plan'.

⁵ Produced under Regulation 18 of the Town and Country Planning (Local Planning) (England) Regulations 2012

- 2.4 The next stage of the local plan preparation will be to consult on a full Publication Draft Plan⁶ in October 2022⁷. This new draft plan will identify locations and sites for development, which will enable us to identify suitable strategic infrastructure projects required to deliver specific levels and types of growth. The draft Plan will be supported by an Infrastructure Delivery Plan (IDP), that will have analysed existing infrastructure provision, future requirements, and funding sources, based on the proposed policies and site allocations in the draft Plan. It would then be beneficial at that stage to produce a detailed Infrastructure List of future CIL spending priorities/projects; that will be included in the 2021/22 Infrastructure Funding Statement.
- 2.6 In the meantime, it would be helpful to provide some clarity on the anticipated general approach to infrastructure provision. This cannot identify specific projects at this stage for the reasons stated above but can identify the types of infrastructure likely to be prioritised, largely based on the Council's Capital Strategy. This 'Interim Infrastructure List' would help to provide guidance for the Council in making infrastructure spending decisions involving CIL over the next financial year.

2.7 Interim Infrastructure List

- 2.8 The list below sets out the types of infrastructure the Council intends will, or may be, wholly or partly funded by CIL:
 - Provision, improvement, replacement, operation or maintenance of education facilities
 - Provision, improvement, replacement, operation or maintenance of health care facilities
 - Provision, improvement, replacement, operation or maintenance of public open space and playgrounds
 - Provision, improvement, replacement, operation or maintenance of active travel measures (including public transport, walking, cycling and highway improvements) and other highway improvements
 - Provision, improvement, replacement, operation or maintenance of flood defences or other flood resilience measures
 - Provision, improvement, replacement, operation or maintenance of sport and/or leisure facilities
 - Provision, improvement, replacement, operation or maintenance of community or cultural facilities
 - Provision, improvement, replacement, operation or maintenance of other infrastructure projects which facilitate economic development and growth
 - Provision, improvement, replacement, operation or maintenance of any infrastructure projects or investment pipeline opportunities listed in the Council's Capital Strategy which may apply at the time, and which fall under the definition of strategic infrastructure, together with any other

⁶ Under Regulation 19 of the Town and Country Planning (Local Planning) (England) Regulations 2012

⁷ As set out in the Local Development Scheme, effective from 21 October 2021 – see https://democracy.sheffield.gov.uk/documents/s47985/LDS%20Executive%20Report%20Final%20a.pdf

infrastructure projects or investment pipeline opportunities which have been approved by the Council's Co-Operative Executive (or equivalent decision making body) and which fall under the definition of strategic infrastructure

2.9 Planning obligations will still be required in accordance with Regulation 122 of the Community Infrastructure Levy Regulations 2010 (as amended).

3. Community Infrastructure Levy Matters

3.1 Types of CIL Receipts and Expenditure

- 3.2 The Council began charging the Community Infrastructure Levy (CIL) on 15 July 2015. CIL is a tariff-based charge on the development of new floorspace (per square metre plus indexation). The money can be used to fund a wide range of infrastructure (e.g., education, transport, open space, and public realm) that is needed to meet the future growth needs of the City.
- 3.3 The national CIL legislation requires 15% of the CIL collected to be retained to support local communities (this becomes 25% in areas where there is an adopted Neighbourhood Plan). This is referred to in national CIL Guidance as the 'neighbourhood CIL'. Where there are local councils such as town and parish councils, this 15% will be paid over directly to the local councils to spend on their infrastructure priorities. As most of the city is unparished, the Council has chosen to allocate all the remaining neighbourhood CIL to individual wards (this is calculated using Indices of Multiple Deprivation). Our Local Area Partnerships determine how this is spent following consultation with their local communities. Up to 5% of CIL receipts can be used by the collecting authority to cover administrative expenses. The remaining amount is the main CIL 'pot' that is available for spending on the Council's priority strategic infrastructure projects.
- 3.4 Paragraphs 3.5 to 3.16 below outline the collection, expenditure, and allocation of Sheffield CIL in 2020/21.

3.5 CIL Receipts 2020/21

- 3.6 The amount of CIL payable depends on where the development is located within the City and the type of development (ranging from £0, £30, £40, £50, £60, and £80 per square metre). The Sheffield CIL Charging Schedule and map of the charging zones are available to view from the Council's website at https://www.sheffield.gov.uk/cil. Unlike S106, the rate of CIL payable is both mandatory and non-negotiable (the only exception being where relief or exemptions are applied for).
- 3.7 When a development is granted, a liability notice is sent out stating what amount of CIL is payable for the chargeable development. Once the chargeable development is commenced, a demand notice is sent out requesting the payment in accordance with the instalment policy⁸. The total value of demand notices issued in 2020/21 is £6,058,976.98. This is made up of the total amount of all liability notices (£6,008,906.52), total surcharges amount (£14,563.54) and total late payment interest accrued (£35,506.92).

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⁸ CIL Instalment Policy

3.8 The total amount of CIL received in the reporting year 2020/21 was £3,252,851.33. Table 1 below shows all CIL received, up to and including the reporting year, and what remained unallocated at the end of the reporting year, split into the 2 CIL categories. The Strategic CIL shows an unallocated amount that is waiting to be allocated to projects. Neighbourhood CIL shows what has been passed to the parish councils and the unparished amount allocated to wards in accordance with the Indices of Multiple Deprivation (IMD) approach (see Appendix 1). The CIL Administration 5% has been allocated in accordance with the regulations (see 3.15).

Table 1 – CIL Total Receipts received that remain Unallocated in the reporting year

Туре	Total CIL Received (up to and including the reporting year)	Total CIL Allocated	Total CIL Unallocated
Strategic CIL	£15,350,132.90	£14,044,652	£1,305,480.90
Neighbourhood CIL	£2,694,680.49	£2,694,680.49	£0

3.9 **CIL Expenditure 2020/21**

Details of the expenditure are broken down into the 3 CIL categories below:

3.10 Strategic CIL

- 3.11 Table 2 below shows that no CIL has been allocated to projects in 2020/21, but that there has been some expenditure on two projects. It is important to note that allocation and expenditure has taken place in previous years, this information can be found in the previous IFS and CIL annual reports. Also, the amounts in Table 2 do not correlate with Table 1 as allocation is not always spent in the same year. A total of £34,033.52 was spent in £2020/21. For information, but not shown in Table 2 is that total expenditure in previous years totalled £2,103,151.00.
- 3.12 The delivery of significant infrastructure projects does take time, and this is reflected in the spend figures for the reporting year, which were also affected by the pandemic. However, projects have and will continue to progress, playing a key role in the economic recovery and supporting growth and investment opportunities.

Table 2 – Strategic CIL Allocation and Expenditure 2020/21

Project	Amount Allocated	Amount Spent
Bus Rapid Transport	£0	£3,918.20
Herries-Hillfoot Cycle Footway	£0	£30,115.32

3.13 Neighbourhood CIL

3.14 As mentioned above, where there is a town or parish council the 15% neighbourhood CIL is passed directly to them to spend on their infrastructure priorities, shown in Table 3 below. Details of how the local councils have spent the CIL passed to them can be found on their websites⁹.

Table 3 – Total Neighbourhood CIL passed to local councils in 2020/21

Local Council	Amount
Stocksbridge Town Council	£45,743.14
Ecclesfield Parish Council	£8,564.67
Bradfield Parish Council	£16,317.89

3.15 Table 4 below sets the expenditure of the neighbourhood CIL in unparished wards (as determined by the Local Area Partnerships). For allocation and amounts unspent please refer to Appendix 1.

Table 4 - Neighbourhood CIL (unparished) Expenditure 2020/21

Project	Ward	Amount
St Timothy's	Crookes & Crosspool	£5,000
Darnall Well Being	Darnall	£3,000
Stubbin TARA	Firth Park	£16,120
Chapel House Wincobank	Firth Park	£6,800
S6 Food Bank	Hillsborough	£3,000
MASSK	Manor Castle	£7,135
Family Voice	Nether Edge & Sharrow	£1,000
Sharrow Forum	Nether Edge & Sharrow	£1,790

⁹ http://www.stocksbridge-council.co.uk/temp/CIL Annual Report 2019-20 3.pdf; https://ecclesfield-pc.gov.uk/wp-content/uploads/2020/10/Community-Infrastructure-Levy-Report-2019-20.pdf; https://www.bradfieldparishcouncil.org.uk/

Sharrow Adventure Playground	Nether Edge & Sharrow	£21,525
Shiregreen Neighbourhood Centre	Shiregreen & Brightside	£11,250
Deep Lane – Green Estate	Shiregreen & Brightside	£62,401
Foxhill Forum	Southey	£2,900
Zest Building Project	Walkley	£21,300
Flockton Park	Woodhouse	£9,000
Dig Inclusion	Beauchief & Greehhill	£4,200
Burngreave Library	Burngreave	£18,616
Pitsmoor Adventure Playground	Burngreave	£59,500

3.16 CIL Administration

3.17 In accordance with CIL Regulations, up to 5% of CIL funds can be retained annually to cover administration costs. The amount of CIL spent on administration across 2020/21 was £106,168.84. This was 3.6% of the total CIL collected in 2020/21. CIL Administration also includes ongoing functions like establishing and running billing and payment systems, enforcing the levy, the legal costs associated with payments in kind and monitoring and reporting on levy activity.

4. Section 106 (S106) Matters

4.1 S106/Planning Obligations

- 4.2 S106 Agreements (also known as Planning Obligations) are legal agreements which can be attached to a planning permission to mitigate the impact of development.
- 4.3 S106 legal agreements can only be sought where they meet the three tests that are required in order to grant planning permission. The tests are:
 - necessary to make the development acceptable in planning terms.
 - directly related to the development; and
 - fairly and reasonably related in scale and kind to the development
- 4.4 These tests are set out in the CIL Regulation 122¹⁰ and the National Planning Policy Framework (paragraph 57)¹¹.
- 4.5 S106 contributions can either be provided on-site, for example through the provision of affordable housing, or off-site in the form of financial payments. Further details can be found in Sheffield's Community Infrastructure Levy and Planning Obligations Supplementary Planning Document¹².
- 4.6 The collection, expenditure and non-monetary S106 contributions in accordance with the regulations, are detailed below in paragraphs 4.7, 4.11 and 4.22.

4.7 S106 agreements contributions received

- 4.8 Seventeen S106 agreements were signed in 2020/21 with potential future receipts of £1,535,254, becoming due once the developments have commenced.
- 4.9 S106 agreements and other relevant documentation from each planning application can be viewed online at https://planningapps.sheffield.gov.uk/online-applications/.
- 4.10 The total amount of Section 106 receipts in the reporting year 2020/21 was £3,260,284, which is a decrease of 7% compared to the previous year. This is a reflection of the effects of the pandemic as progression of development initially stopped on site, therefore delaying triggers for payment.

¹¹ National-Planning-Policy-Framework

¹⁰ CIL Regulation 122

¹² Community Infrastructure Levy and Planning Obligations SPG

4.11 S106 Expenditure and Allocation

4.12 Spending and allocation of S106 funds in 2020/21 has been split amongst the following categories: -

4.13 Affordable Housing

4.14 Affordable housing contributions are made by S106 agreements and requires the provision of units on-site or contributions towards off-site provision. Table 6 below shows the off-site contributions allocated and spent in 2020/21. These projects will deliver new social housing across the city.

Table 6 – Affordable Housing Allocation and Expenditure 2020/21

Project	Allocated	Spent
Phase 2 New Build Council Housing Weaklands	£153,838	£153,838
New Build Council Housing Phase11Kutton Cresc MMC	£335,255	£335,255
New Build Phase 14 Derbyshire Lane	£43,944	43,944

4.15 Education

4.16 School infrastructure provision, within the local area is required where it is necessary to make major residential developments sustainable. S106 contributions are therefore required to increase capacity of local schools, either through an extension or commissioning a new school. S106 contributions usually form part of funding required as shown in Table 7 below.

Table 7 – Education Expenditure 2020/21

Project	Spent	Description
Heritage Park School	£79,562	Scheme to improve sensory facilities to allow increased intake
Heritage Park School and Holgate Meadows School Complex	£290	Feasibility works to increase capacity for complex learners
Heritage Park School and Holgate Meadows School Accommodation Review	£1,842	Feasibility works to increase capacity across sites
Mercia Secondary School	£29,044.70	Provision of a new 6 form-entry secondary school with 300 post-16 places with the ability to expand to an 8 form-entry. Additionally, the new school will provide sporting facilities in the southwest Sheffield area for school and community use.

4.17 Open Space

- 4.18 Section 106 agreements are used to deliver open space on site (or within the locality of the development). The types of open space that can be secured through Section 106 agreements include:
 - outdoor sports facilities;
 - provision for children and teenagers;
 - parks;
 - natural and semi-natural urban open spaces;
 - river and canal banks, cycleways, and rights of way;
 - allotments, community gardens, and city (urban) farms;
 - churchyards, cemeteries and gardens of rest (associated with crematoria);
 - accessible countryside in urban fringe and rural areas
 - civic spaces, including civic and market squares, and other hard surfaced areas designed for pedestrians
- 4.19 The Council has spent £338,649.43 on open space projects in 2020/21 (see Table 8 below).

Table 8 – Open Space Expenditure 2020/21

Project	Spent	Expenditure Description
Play Improvements	£35,000.59	Installation of new play equipment at several sites across the city
Hillsborough Play	£13,061	Renew and install play equipment
Ecclesall Woods	£3,685	Path improvements throughout the site
Ponderosa AP Improvements	£16,644.66	Woodland management, and new footpaths
Grey to Green 2	£227,408.84	This project relates to green open space in the City Centre; works include the pedestrianisation of Castlegate involving the narrowing of redundant carriage ways on Exchange Street/Place. It will also create a gateway to the City Centre by extending green & open space corridors with pedestrian and cycle priority
Bannerdale Site Improvements	£10,347	Footpaths, new steps, access improvements
General Cemetery Phase 2	£31,937	Design and other fees only at this stage leading to major improvements to the General Cemetery site (e.g., entrances, footpaths, repair walls and structures)
Millhouses Playground Improvements	£187	Supply and install a replacement multi-play unit at Millhouses Playground
Fordge Dam Heritage and Wildlife Improvements	£1,436	Restoration of the mill pond at Forge Dam to an open body of water with a reprofiled island and banks adding to wildlife habitat including: an engineered barrier to direct the water flow, resurface the footpath, and new interpretation boards about the history and wildlife of the dam
Parkwood Springs Active Park	£750	Design & build of 6.8km new and improved Mountain bike trails Design & build of site Kiosk & Toilets including groundwork, foundations, utilities

Project	Spent	Expenditure Description
		Entrance, boundary works, access routes & paths
Philadelphia Gardens Playground	£6,340	Add 5 new trim trails and one small trampoline to the park to improve the play facilities for juniors and older children. Remove the old embankment slide and make safe
Spider Park, Wisewood	£8,497	New pocket park and playground

4.20 S106 Administration

4.21 The amount of planning obligation money collected and spent in respect of monitoring of Section 106 agreements is £5,159.

4.22 Non-monetary \$106 agreements

4.23 The Council has agreed 7 non-monetary S106 agreements relating to highways, affordable housing provision and other site-specific covenants for planning permissions 18/03405/OUT, 18/03796/OUT, 18/03802/FUL, 18/03869/FUL, 19/03779/FUL, 20/02902/FUL and 20/02903/FUL. Which has resulted in the provision of 131 on-site affordable housing units, (although these are dependent on the implementation of the approved planning permission).

4.24 Current S106 Balance

4.25 As a result of the receipts and expenditure set out in paragraphs 4.10 to 4.21 above, the amount retained at the end of 2020/21 was £18,958,520. Although spend was low, this is expected to change as 60% of the retained amount has been committed to projects in 2021/22. The remaining 40% remains available to be allocated towards future projects, in accordance with the requirements defined within the Section 106 agreements.

4.26 Section 278 (S278) Agreements

- 4.27 Section 278 agreements under the Highways Act 1980 are legally binding agreements between the Local Highway Authority (Sheffield City Council) and the developer to ensure delivery of necessary highway works as a result of new development.
- 4.28 The required works may include:
 - strategic highways;
 - pedestrian & cycle routes; and
 - transport projects.
- 4.29 The Council has entered into 27 S278 agreements during 2020/21 with the estimated value of works totalling £2,050,555. For the full list of projects see Appendix 2.

5. Further information

If you have any further queries or comments about this statement, please contact us via email cil@sheffield.gov.uk or phone 0114 2037642.

Appendix 1 – Unparished Neighbourhood CIL allocated by Indices of Multiple Deprivation (IMD) 2020/21

Neighbourhood CIL was allocated to wards following the approval of the Indices of Multiple Deprivation (IMD) approach in 2019. It is then committed where ward Members identify local proposals to meet local needs that fit within their budgets. These proposals are then agreed by the Executive Member for Sustainable Neighbourhoods, Wellbeing, Parks and Leisure and the Director of Communities. In many cases further work is required to identify and develop viable local projects, which can delay spend. However, now that processes are in place, we have seen a slight increase in spend in this reporting year.

Ward	Allocated Amount	Amount Unspent (as at 31 March 2021) (for spend see 3.14 above)
Broomhill & Sharrow Vale Ward	£45,569.89	£45,569.89
City Ward	£118,455.16	£109,980.16
Hillsborough Ward	£72,495.66	£48,195.66
Walkley Ward	£93,164.19	£93,164.19
Darnall Ward	£162,197.72	£159,197.72
Manor Castle Ward	£164,855.84	£157,720.84
Park & Arbourthorne Ward	£127,219.59	£127,219.59
Richmond Ward	£84,084.60	£82,584.60
Burngreave Ward p	£207,541.05	£129,425.05
Firth Park Ward	£181,495.00	£148,575.00
Shiregreen & Brightside Ward	£132,281.46	£58,630.46
Southey Ward	£152,841.52	£142,441.52
Beauchief & Greenhill Ward	£101,677.39	£97,477.39
Gleadless Valley Ward	£121,478.85	£120,478.85
Graves Park Ward	£31,695.59	£31,695.59
Nether Edge & Sharrow Ward	£80,443.19	£21,894.19
Beighton Ward	£52,465.19	£52,465.19
Birley Ward	£54,733.32	£54,733.32
Mosborough Ward	£51,483.70	£51,483.70
Woodhouse Ward	£79,270.95	£70,270.95
Crookes & Crosspool Ward	£20,245.86	£15,245.86
Dore & Totley Ward	£17,564.05	£17,564.05
Ecclesall Ward	£14,193.58	£14,193.58
Fulwood Ward	£19,066.85	£19,066.85
East Ecclesfield Ward	£22,566.05	£22,566.05
Stannington Ward	£27,926.37	£27,926.37
Neighbourhood Plans	£249,111.79	£249,111.79

Appendix 2 – Section 278 agreements 2020/21

S278 Agreement	Planning Application Reference	Type of Works	Value of Works (k)
Allen Street	19/01388/FUL	Footway reconstruction	£99,000
Athol Road	19/02879/FUL	Vehicle access and surfacing	£6,250.00
Bassledene Road	18/03047/FUL	Vehicle access and surfacing	£7,200.00
Blackburn Road	19/01431/FUL	Vehicle access and surfacing	£7,380.00
Bradfield Brewery	NP/S/0317/0267	Vehicle access and surfacing	£9,500.00
Bridle Stile	16/03550/FUL	Footway reconstruction	£18,600.00
Cuthbert Bank	08/05512/FUL	New footway construction	£30,000.00
Dun Street	17/02005/FUL	Footway reconstruction	£29,500.00
Falkland Road	19/00724/FUL	Vehicle access and surfacing	£5,000.00
Falstaff	17/05190/FUL	Footway & carriageway reconstruction, waiting restrictions	£330,000.00
Furnival Square (The Gate)	17/03619/FUL	Footway reconstruction	£150,000.00
Great Central	17/02570/FUL	Footway & carriageway reconstruction, waiting restrictions	£141,000.00
Grunwerg (Rockingham Street)	18/02170/FUL	Footway & carriageway reconstruction, waiting restrictions	£106,000.00
Hollis Croft	16/02910/FUL	Footway & carriageway reconstruction, waiting restrictions	£152,000.00
Lidl, Stannington Road	18/02802/FUL	New junction access, carriageway surfacing, bus stops	£130,000.00

S278 Agreement	Planning Application Reference	Type of Works	Value of Works (k)
Kangaroo Works	19/01836/FUL	Footway & carriageway reconstruction, waiting restrictions, parking lay-bays	£289,000.00
More Hall Waste Water	18/02769/FUL	Vehicle access and surfacing	£27,500.00
Nether Lane, Chapeltown	19/04381/FUL	Vehicle access and surfacing	£25,000.00
Park Drive Way	18/001162/FUL	Bus stop relocation and new vehicle access	£11,500.00
Park Hill Phase 2	17/03486/FUL	Footway & carriageway reconstruction, waiting restrictions	£165,000.00
Rother Valley Way	17/04168/FUL	New footway construction	£10,000.00
Smithy Wood, Chapeltown	19/01557/FUL	Vehicle accesses and surfacing	£52,000.00
Skye Edge	18/03851/FUL	Kerb amendment, relocated road humps,	£35,000.00
The Moor (1-5)	17/03039/FUL	Footway & carriageway reconstruction, waiting restrictions	£177,000.00
Whitehouse Lane	17/04905/FUL	Vehicle accesses and surfacing	£5,000.00
Wordsworth Avenue	18/02704/FUL	Footway works and vehicle access	£10,625.00
Wybourn Village Centre	19/03002/FUL	Footway works and vehicle access	£21,500.00